

Optimizing Airborne Spectral Bands for Tree Species Classification

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Introduction

- Hyperspectral data have been used in tree species classification.
- Large volume of information may result high processing cost, delay in data transmission and communication.
- Current hyperspectral sensor capture: lower spatial resolution imagery when compared with images captured using multispectral sensors.
- Weather plays the important role in defining quality of acquired images.

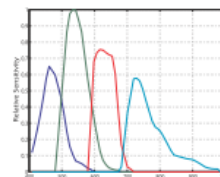
Materials

AisaEAGLE II hyperspectral sensor was used for airborne hyperspectral measurement.

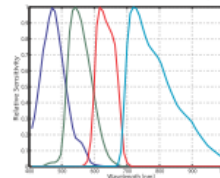
| Sensor | ULTRACAM | DMC | ADS40 |
|-----------------------------------|--|--|--|
| Scanning Principle | Frame grabbing | Frame grabbing | Pushbroom |
| Image Capture | Multi-head | Multi-head | Single-head |
| Smallest Ground Sample at | 2.7 cm panchromatic at 1,000 feet above ground | 3 cm panchromatic at 1,000 feet above ground | 5 cm panchromatic and multispectral at 1,500 feet above ground |
| Panchromatic Spectral Resolution | 380–720 nm | 400–950 nm | 465–680 nm |
| MultiSpectral Spectral Resolution | | | |
| Blue | 380–580 nm | 400–580 nm | 428–492 nm |
| Green | 480–640 nm | 500–650 nm | 533–587 nm |
| Red | 580–700 nm | 590–675 nm | 608–662 nm |
| Infrared | 680–940 nm | 675–850 nm | 833–887 nm |
| Radiometric Resolution | 12+ bit, 14 bit ADC, 16 bit storage | 12 bit | 12 bit (16 bit ADC storage) |
| Array Size | 11,500 X 7,500 pixels (after pan/MS fusing) | 13824 pixel X 7680 pixel (after pan/MS fusing) | 12 lines x 12,000 pixels across track |

Materials

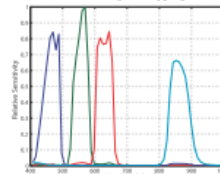
- The spectral sensitivities of the three multispectral systems.
- Sensitivities are normalized such that maximum peak value is 1.



(a) The sensitivities of the Vesel UltraCam-D (UCD) [35].



(b) The sensitivities of the Z/I Digital Mapping camera (DMC) [36].



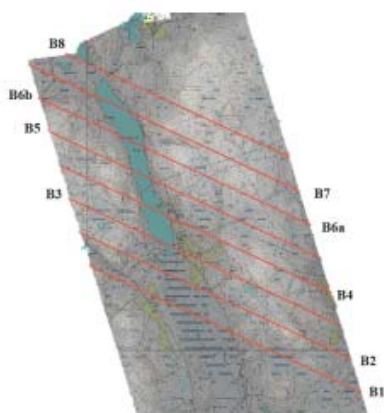
(c) The sensitivities of the Leica ADS40 [37] (ADS).

Materials

AisaEAGLE II hyperspectral bands and corresponding wavelength (WL) peak value in nanometer [nm] with a Full-Width-at-Half-Maximum (FWHM) around 9.3 nm

| Band | WL | Band | WL | Band | WL | Band | WL | Band | WL |
|------|--------|------|--------|------|--------|------|--------|------|--------|
| 1 | 408.39 | 14 | 524.20 | 27 | 644.58 | 40 | 766.61 | 53 | 890.52 |
| 2 | 417.03 | 15 | 533.20 | 28 | 653.92 | 41 | 776.14 | 54 | 900.04 |
| 3 | 425.67 | 16 | 542.20 | 29 | 663.26 | 42 | 785.68 | 55 | 909.57 |
| 4 | 434.33 | 17 | 551.37 | 30 | 672.60 | 43 | 795.22 | 56 | 919.11 |
| 5 | 443.24 | 18 | 560.69 | 31 | 681.95 | 44 | 804.76 | 57 | 928.67 |
| 6 | 452.24 | 19 | 570.01 | 32 | 691.29 | 45 | 814.30 | 58 | 938.22 |
| 7 | 461.23 | 20 | 579.33 | 33 | 700.65 | 46 | 823.84 | 59 | 947.78 |
| 8 | 470.23 | 21 | 588.65 | 34 | 710.04 | 47 | 833.37 | 60 | 957.33 |
| 9 | 479.23 | 22 | 597.97 | 35 | 719.42 | 48 | 842.89 | 61 | 966.89 |
| 10 | 488.22 | 23 | 607.29 | 36 | 728.81 | 49 | 852.42 | 62 | 976.44 |
| 11 | 497.22 | 24 | 616.61 | 37 | 738.19 | 50 | 861.94 | 63 | 986.00 |
| 12 | 506.21 | 25 | 625.93 | 38 | 747.58 | 51 | 871.47 | 64 | 995.55 |
| 13 | 515.21 | 26 | 635.25 | 39 | 757.07 | 52 | 880.99 | | |

Test Flights



Ground truth

- Altogether 577 plots (254 pine plots, 177 spruce plots and 146 birch plots) were identified from B-Line and 51 plots (21 pine plots, 20 spruce plots and 10 birch plots) were identified.
- In the plot identification process, the mean tree height was estimated for each identified plot using the LiDAR points of the stand's dominant height and stand density ($h < 2$ m).

| Dataset | Height [m] | | Pine | Spruce | Birch |
|--------------|------------|----------|---------------|---------------|---------------|
| | Min - Max | Category | | | |
| BL1 | 5.77-32.74 | 0 -10 | 1,706 | | |
| | | 10 -15 | 6,813 | 3,346 | 7,056 |
| | | 15 - 20 | 24,100 | 7,536 | 17,161 |
| | | 20 - 25 | 6,552 | 12,946 | 6,569 |
| | | 25 above | 860 | 5,994 | |
| Total | | | 40,031 | 29,822 | 30,786 |
| BL2 | 2.62-33.56 | 0 -10 | 2,188 | | 1,323 |
| | | 10 -15 | 11,078 | 803 | 7,056 |
| | | 15 - 20 | 25,899 | 6,274 | 17,185 |
| | | 20 - 25 | 1,751 | 11,479 | 6,599 |
| | | 25 above | | 6,019 | 882 |
| Total | | | 40,916 | 23,772 | 33,045 |
| DL | 6.60-29.04 | 0 -10 | 535 | | 882 |
| | | 10 -15 | 1,685 | 882 | 881 |
| | | 15 - 20 | 3,566 | 418 | 2,203 |
| | | 20 - 25 | 85 | 3,789 | 441 |
| | | 25 above | | | 2,832 |
| Total | | | 5,871 | 7,921 | 4,407 |

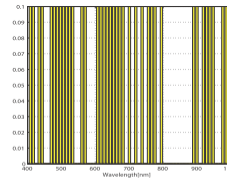
Methods –Band Selection

- Algorithms used in Band selection and selected band number
- In table the bold number represents the common bands obtained with tree algorithm.

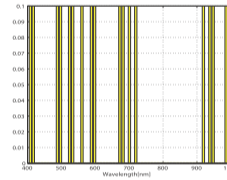
| Algorithm | Name | Selected Band Positions |
|--|-------|--|
| Sparse Linear Regression | Algo1 | 1, 2, 4, 5, 8, 9, 10, 11, 12, 13, 14, 15, 18, 19, 23, 24, 25, 26, 27, 28, 29, 30, 31, 33, 35, 37, 39, 40, 41, 43, 53, 54, 56, 57, 58, 59, 62, 63 and 64 |
| Sparse Logistic Regression | Algo2 | 1, 2, 10, 11, 14, 15, 19, 21, 22, 30, 31, 33, 35, 56, 58, 59, and 63 |
| Sparse Logistic Regression with Bayesian Regularization [87] | Algo3 | 1, 10, 19, 30, 33, 35, 42 and 49 |

Methods –Band Selection

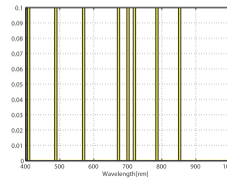
- On use of Algo3 minimum numbers of bands were selected and the algorithm has further advantage since it avoid the model selection stage.
- Using Algo3 with plot and pixel level dataset in balance cases 8–11 narrow bands were selected.



(a) Bars represented the selected spectral band positions with sparse linear regression method.



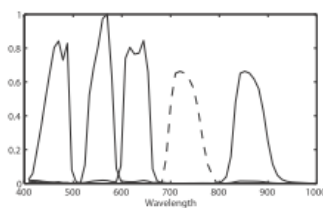
(b) Bars represented the selected spectral band positions with sparse logistic regression method.



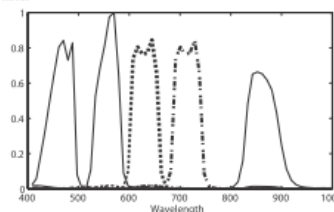
(c) Bars represented the selected spectral band positions from sparse regression with bayesian regularization method [87].

Results

- The Leica ADS was chosen in band modification since it lacks sensitivities in the wavelength region of 690–800 nm
- Although, Leica ADS system lacks sensitivity in red–edge region it has been reported that certain Leica systems can have a possibility for an additional sensitivity in the wavelength region of 705–755 nm but sensitivity information was unavailable.
- First the 4th NIR band in the Leica ADS sensor was replicated and relocated to have sensitivity in the 691–785 nm region, such that there is no overlap with other existing Leica ADS



(a) The five band system *ADS** proposed in publication [P1]. The four solid line are the Leica ADS40 sensitivity information and dashed line is the added fifth band



(b) The dotted curve is the ADS40 red band and the dashed dot curve is the repositioned band to make new multispectral system *ADS-S* and *ADS-S** proposed in publication [P2]

Classification results for using the selected band and simulated responses

| Dataset | Features | Bands | CR (%) | Ka | Pine (CR) | Spruce (CR) | Birch (CR) | Difference from AISAE accuracy (%) | 95% CI | Conclusion (at 0.05 level of significance) |
|---------|----------|-------|--------|-------|-----------|-------------|------------|------------------------------------|-----------------|--|
| BL1 | AISAE | 64 | 94.29 | 0.922 | 92.62 | 94.28 | 98.38 | 0.0 | (0.0 – 0.0) | No change |
| | Algo1 | 39 | 94.63 | 0.922 | 92.56 | 94.00 | 98.73 | -0.339 | - - | - |
| | Algo2 | 17 | 93.86 | 0.912 | 91.99 | 92.43 | 98.72 | 0.432 | (0.430 – 0.432) | Non-Inferior |
| | Algo3 | 8 | 93.73 | 0.909 | 92.46 | 92.08 | 97.88 | 0.590 | (0.588 – 0.590) | Non-Inferior |
| BL2 | AISAE | 64 | 94.30 | 0.916 | 92.91 | 93.48 | 97.10 | 0.0 | (0.0 – 0.0) | No change |
| | Algo1 | 39 | 93.88 | 0.908 | 92.00 | 92.86 | 97.21 | 0.420 | (0.418 – 0.420) | Non-Inferior |
| | Algo2 | 17 | 94.06 | 0.911 | 91.91 | 93.05 | 97.72 | 0.236 | (0.234 – 0.236) | Non-Inferior |
| | Algo3 | 8 | 93.84 | 0.909 | 92.97 | 92.69 | 96.42 | 0.455 | (0.453 – 0.455) | Non-Inferior |
| DL | AISAE | 64 | 90.31 | 0.857 | 92.06 | 84.55 | 99.88 | 0.0 | (0.0 – 0.0) | No change |
| | Algo1 | 39 | 90.13 | 0.860 | 94.00 | 83.67 | 99.77 | 0.181 | (0.174 – 0.187) | Non-Inferior |
| | Algo2 | 17 | 89.35 | 0.849 | 93.06 | 82.75 | 99.63 | 0.965 | (0.958 – 0.971) | Non-Inferior |
| | Algo3 | 8 | 89.38 | 0.843 | 92.47 | 82.52 | 98.97 | 0.931 | (0.925 – 0.937) | Non-Inferior |

Height-based tree species classification results for datasets

| Dataset | Feature | Height [M] | CR (%) | κ | Pine (CR) | Spruce (CR) | Birch (CR) |
|---------|---------|------------|-------------|------------|--------------|-------------|-------------|
| BL1 | AISAE | 10 – 15 | 96.06(0.74) | 0.94(0.01) | 94.49(1.02) | 92.86(2.91) | 99.85(0.07) |
| | | 15 – 20 | 93.19(1.04) | 0.89(0.02) | 90.30(1.95) | 91.82(2.54) | 98.60(0.56) |
| | | 20 – 25 | 89.97(1.26) | 0.85(0.02) | 89.83(1.44) | 88.42(2.19) | 94.88(2.00) |
| | SparLog | 10 – 15 | 96.30(0.62) | 0.95(0.01) | 94.93(1.17) | 92.91(2.18) | 99.82(0.10) |
| | | 15 – 20 | 93.37(0.69) | 0.90(0.01) | 90.32(1.46) | 92.33(1.92) | 98.50(0.49) |
| | | 20 – 25 | 89.96(1.04) | 0.85(0.02) | 89.75(2.58) | 88.19(1.89) | 94.81(1.69) |
| BL2 | AISAE | 10 – 15 | 95.83(0.30) | 0.93(0.01) | 96.20(0.74) | 88.08(5.45) | 96.81(0.78) |
| | | 15 – 20 | 92.60(0.80) | 0.88(0.01) | 89.24(1.66) | 92.90(1.36) | 98.65(0.44) |
| | | 20 – 25 | 89.15(1.14) | 0.82(0.02) | 86.58(3.70) | 87.63(2.26) | 94.20(1.88) |
| | SparLog | 10 – 15 | 95.40(0.99) | 0.92(0.02) | 95.67(1.59) | 85.75(4.23) | 96.48(0.80) |
| | | 15 – 20 | 92.12(1.11) | 0.87(0.02) | 88.54(2.29) | 92.34(1.63) | 98.04(1.23) |
| | | 20 – 25 | 88.65(1.32) | 0.81(0.02) | 86.43(3.69) | 87.14(2.14) | 92.62(2.46) |
| DL | AISAE | 10 – 15 | 94.39(1.56) | 0.92(0.02) | 94.18(2.19) | 92.36(3.60) | 97.86(1.98) |
| | | 15 – 20 | 95.32(1.02) | 0.92(0.02) | 94.69(1.76) | 86.09(5.77) | 98.34(0.80) |
| | | 20 – 25 | 88.34(1.20) | 0.63(0.03) | 71.45(14.60) | 88.09(1.42) | 98.05(2.16) |
| | SparLog | 10 – 15 | 94.07(1.68) | 0.91(0.02) | 93.95(2.27) | 94.28(3.26) | 95.50(3.71) |
| | | 15 – 20 | 94.36(1.04) | 0.90(0.02) | 93.34(1.59) | 82.55(7.62) | 98.59(0.65) |
| | | 20 – 25 | 88.45(2.78) | 0.64(0.06) | 76.51(19.84) | 88.39(2.82) | 97.59(3.85) |

The classification results obtained from evaluating the effect of view-illumination geometry.

| Dataset | Features | CR (%) | κ | Pine (CR) | Spruce (CR) | Birch (CR) |
|---------------------|----------|------------|-------------|-------------|-------------|------------|
| Tr (BL1) / Te (BL2) | AISAE | 92.9 (0.4) | 0.90 (0.01) | 88.9 (1.8) | 93.6 (1.5) | 98.1 (0.3) |
| | SparLog | 92.0 (0.4) | 0.88 (0.01) | 88.2 (1.6) | 92.3 (1.4) | 97.3 (0.3) |
| Tr (BL2) / Te (BL1) | AISAE | 92.8 (0.4) | 0.89 (0.01) | 93.3 (1.1) | 86.2 (1.4) | 97.8 (0.4) |
| | SparLog | 92.1 (0.3) | 0.88 (0.3) | 92.3 (0.7) | 84.5 (1.2) | 98.0 (0.4) |
| Tr(DL) / Te (BL1) | AISAE | 74.8 (4.2) | 0.63 (0.06) | 65.1 (16.8) | 82.9 (10.7) | 81.8 (4.3) |
| | SparLog | 77.1 (2.3) | 0.66 (0.03) | 70.2 (6.3) | 82.8 (4.2) | 82.1 (3.8) |
| Tr(DL) / Te (BL2) | AISAE | 72.7 (5.6) | 0.61 (0.08) | 61.1 (16.8) | 83.9 (10.0) | 80.9 (4.0) |
| | SparLog | 76.7(2.0) | 0.66 (0.03) | 69.4 (4.9) | 79.8 (4.7) | 84.6 (3.0) |
| Tr (BL1) / Te (DL) | AISAE | 78.7 (5.3) | 0.70 (0.08) | 82.4 (14.9) | 73.8 (7.7) | 90.0 (3.4) |
| | SparLog | 76.0 (5.2) | 0.65 (0.08) | 66.5 (14.9) | 77.6 (4.2) | 89.8 (2.3) |
| Tr (BL2) / Te (DL) | AISAE | 80.2 (3.2) | 0.72 (0.05) | 77.6 (13.5) | 80.5 (11.1) | 90.1 (5.7) |
| | SparLog | 80.7 (2.6) | 0.72 (0.04) | 78.2 (9.1) | 79.7 (4.8) | 89.4 (1.9) |

Discussion

- A decrease in tree species classification accuracy was observed as the tree heights increased, even though the training and test datasets are characterized by the same view-illumination geometry.
- The result can be explained by data properties in training and test set.
- It can be assumed that for taller trees additional ground information or proper selection of field plots is needed to develop a training set which could provides improved the classification accuracy.
- Moreover, tree species classification experiment showed that eight selected bands preserves the tree species classification (approximately 1–2% point) as obtained on using all 64 AisaEAGLE II hyperspectral bands through view-illumination geometry and in tree height.

Discussion

- The tree species classification results obtained on using the selected bands and the simulated responses of proposed 4 and 5-band sensor sensitivities showed that in future tuning an imaging band position in pre-flight setup or developing multispectral sensor system with the small number of optimized bands allowed us to extract adequate information of an object on the ground.
- With this information similar tree species classification performance could be obtained as obtained on using all the hyperspectral bands.

Discussion

- When we used the mixed view-illumination geometry dataset DL for training and the fixed view-illumination geometry dataset (BL1 or BL2) as the test set or vice versa, we obtained a classification accuracy of 74–80%.
- This is approximately a 12–18% point decrease in the accuracy when compared with the other results. Furthermore, there was also a drop in the accuracy of the classification of the individual tree species.
- The largest drop was observed in pine (a maximum of 32% point), where spruce and birch accuracy decreases approximately 17–20% point